Bibliometric Research Assessment as Professional Jurisdiction? Insights from the History of the Leiden Centre for Science and Technology Studies (CWTS), 1980–2016

Sabrina Petersohn & Thomas Heinze, University of Wuppertal Science, Technology and Innovation Indicators Conference 2017, Paris Sept 8 2017

BibPro: Research Evaluation in Transition: The Institutionalization of Bibliometrics as a Research Field and Professionalization as an Expert Field

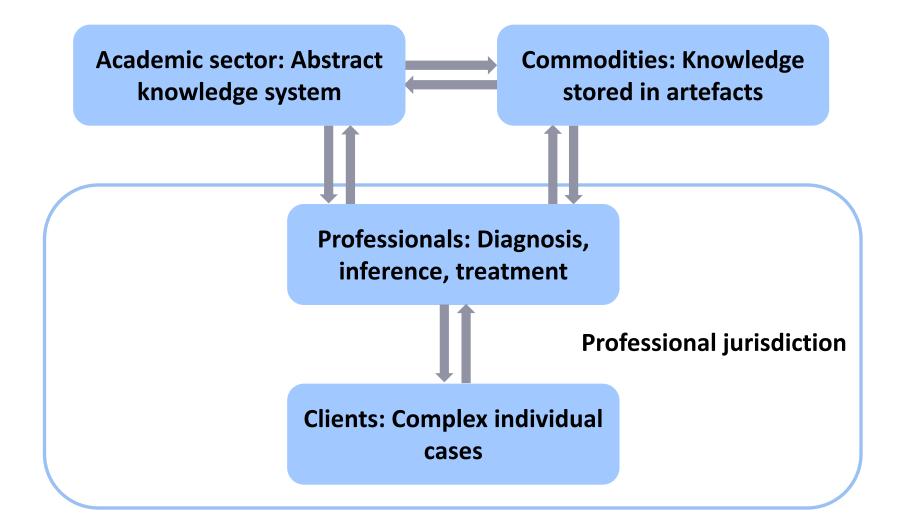


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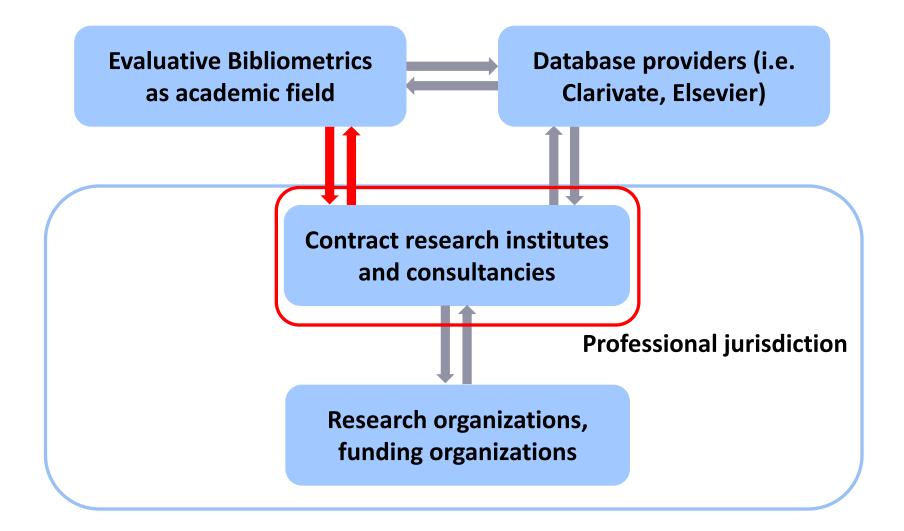


Federal Ministry of Education and Research

Professional jurisdiction (Abbott 1988)



Expert organizations in evaluative bibliometrics



Dataset

Interview material

•12 expert interviews with current and former CWTS members and science policy experts from KNAW, VSNU, Rathenau Institute and QANU

Archival material

- •492 CWTS contract research project reports
- •CWTS Annual Reports 1986-2010
- •Faculty Reports Leiden University 1995, 2000
- •295 evaluation reports issued during the VSNU protocol evaluations (1994, 1998) and SEP (2003, 2009)
- •Evaluation protocols (VSNU 1993, 1994, 1998, SEP 2003, 2009, 2015)
- Legislative and policy documents

Emergence of quantitative research assessment as a jurisdiction

- Technological change: Science Citation Index
- Science policy demands: Funding decisions, priorities and accountability
 - Netherlands: expertise in policy-relevant science studies was built up in a ministerial department, an advisory council and in science studies group at Leiden University
 - Ministry of Education and Science and Research Council NWO fund long-term research programs in Science and Technology indicators

Professional claim of CWTS as an expert organization

Professional claim of CWTS – Bibliometrics as a diagnostic tool:

"The essence is that we came up with a tool that enabled experts in the field to identify emerging groups. That was what it was all about - emerging or declining." (Interview Henk Moed)

Bibliometrics as "quantitative core of peer review" (van Raan 1996): "Therefore the support of peer review by bibliometrics is a (sic!) indispensable part of the evaluation procedure." (van Raan 1999: 418)

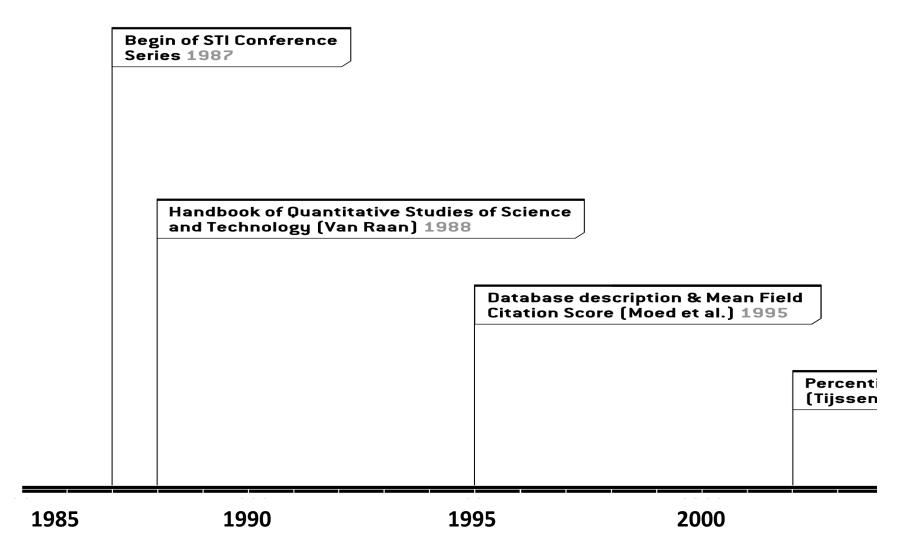
Cognitive basis of CWTS claim to expertise

Cognitive claim based on

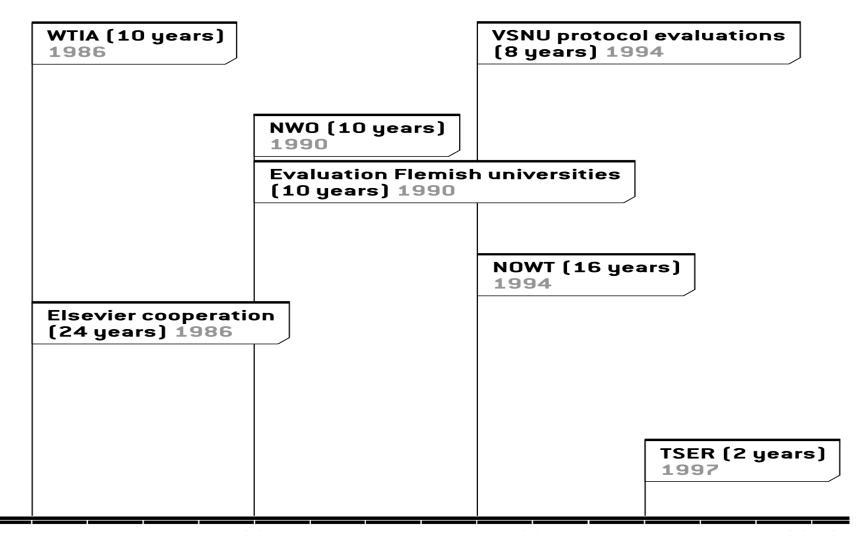
- •Meticulous collection and careful processing of publication and citation data
- method of field normalization
- •the creation of a modified in-house version of the ISI-databases specifically adapted to purposes of research evaluation (Moed et al. 1995)



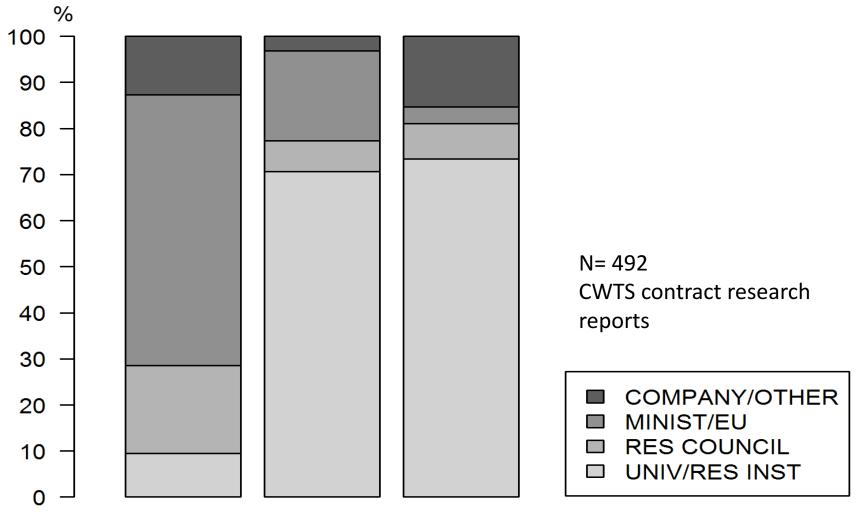
Communication of CWTS cognitive claim in the academic field



CWTS social claim to expertise



Acceptance of CWTS social claims –client structure

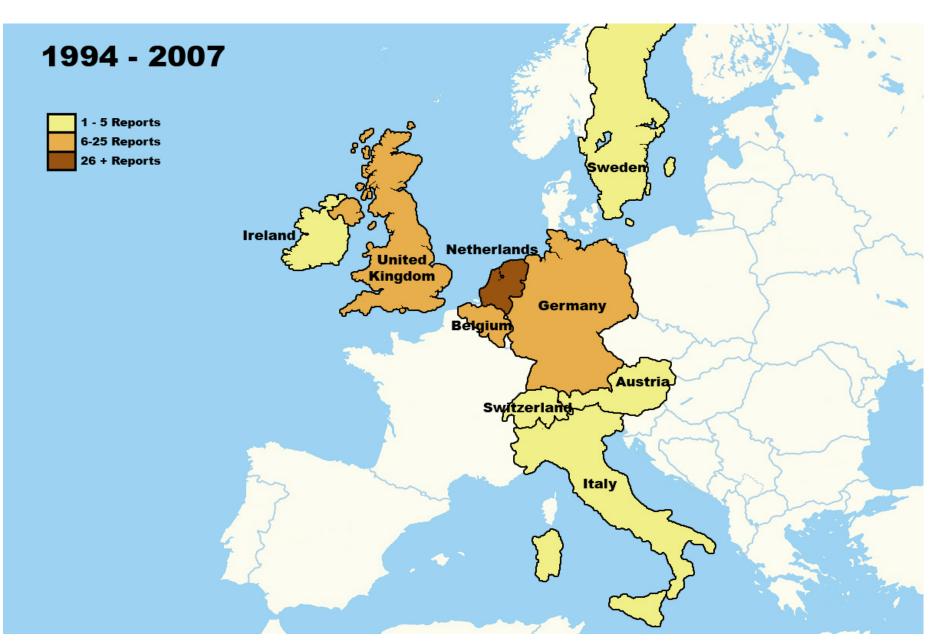


1986-1993 1994-2007 2008-2015

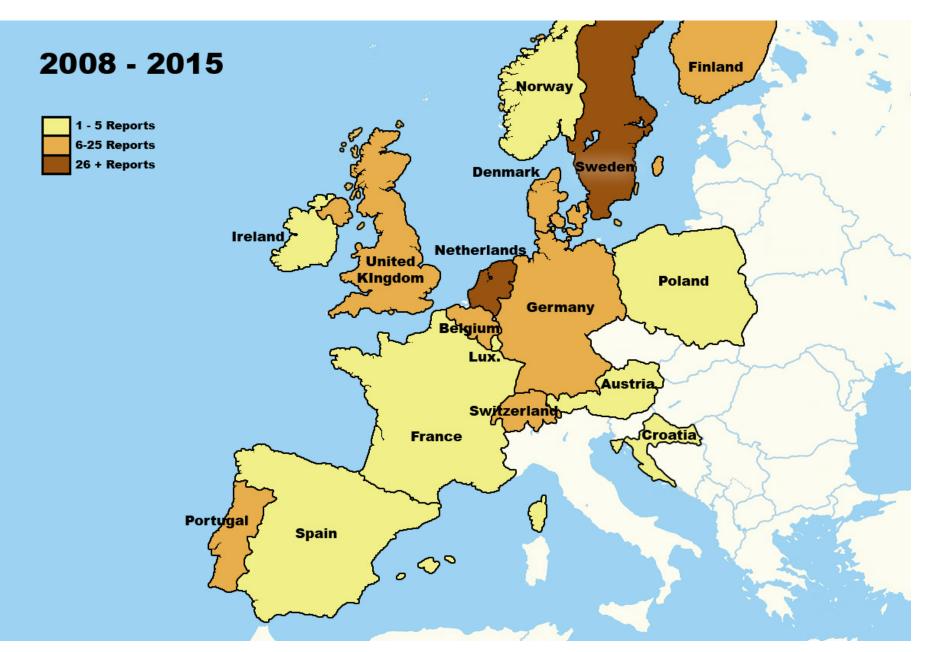
Formative phase 1986-1993



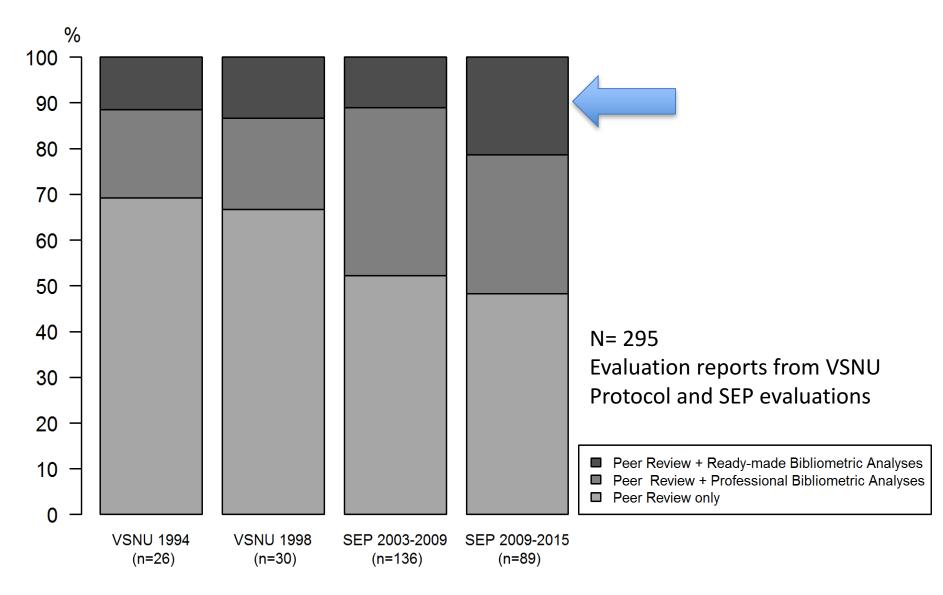
Expansion phase 1994-2007



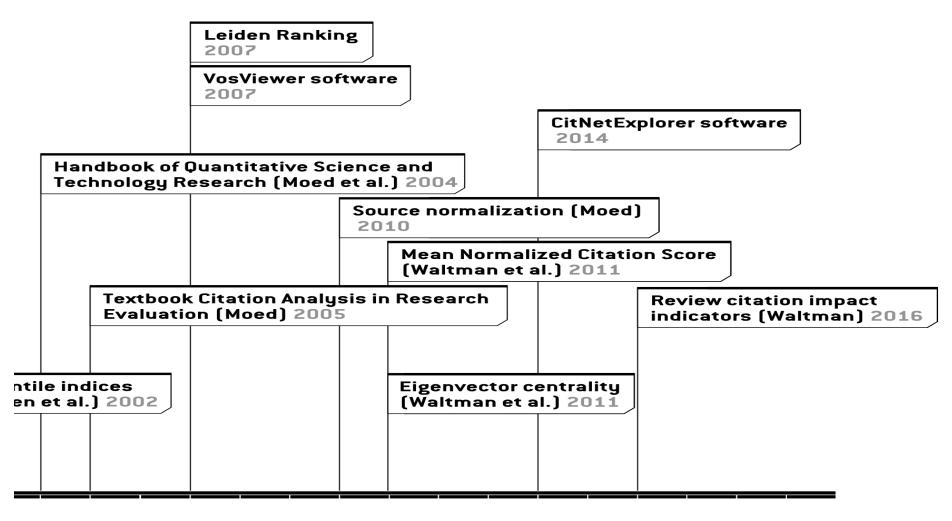
Consolidation and diversification phase 2008-2015



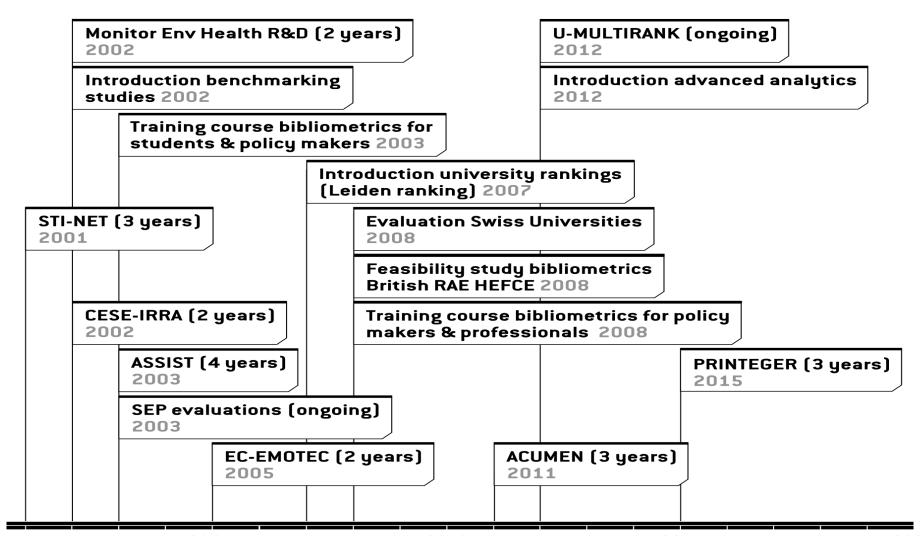
Threats to CWTS position in the expert field of evaluative bibliometrics



CWTS cognitive claims to consolidate its position in the expert field



CWTS social claims to consolidate its position in the expert field



Thank you for you attention.

Questions and comments: petersohn@uni-wuppertal.de

Project publications

Petersohn, S. (2016): Professional competencies and jurisdictional claims in evaluative bibliometrics: The educational mandate of academic librarians. *Education for Information, 32*(2), 165-193.

Jappe, A.; Heinze, T.; Pithan, D. (under review): Reputational Control and the Professionalization of Evaluative Citation Analysis.

Petersohn, S.; Heinze, T. (under review): Professionalizing Bibliometric Research Assessment? Insights from the Leiden Centre for Science and Technology Studies (CWTS). (1980-2016).