#### Bibliometric Research Assessment as Professional Jurisdiction? Insights from the History of the Leiden Centre for Science and Technology Studies (CWTS), 1980–2016

Sabrina Petersohn & Thomas Heinze, University of Wuppertal Science, Technology and Innovation Indicators Conference 2017, Paris Sept 8 2017

**BibPro:** Research Evaluation in Transition: The Institutionalization of Bibliometrics as a Research Field and Professionalization as an Expert Field

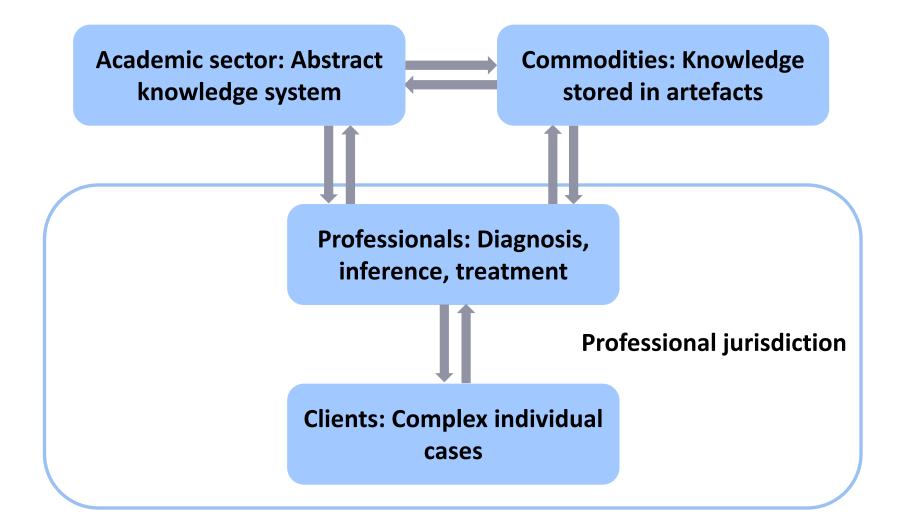


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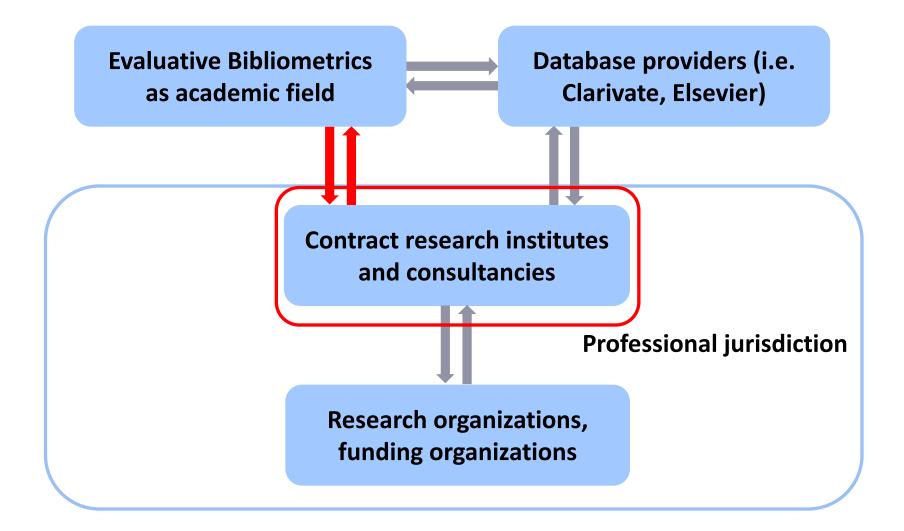


Federal Ministry of Education and Research

#### **Professional jurisdiction (Abbott 1988)**



#### **Expert organizations in evaluative bibliometrics**



### Dataset

#### **Interview material**

•12 expert interviews with current and former CWTS members and science policy experts from KNAW, VSNU, Rathenau Institute and QANU

#### Archival material

- •492 CWTS contract research project reports
- •CWTS Annual Reports 1986-2010
- •Faculty Reports Leiden University 1995, 2000
- •295 evaluation reports issued during the VSNU protocol evaluations (1994, 1998) and SEP (2003, 2009)
- •Evaluation protocols (VSNU 1993, 1994, 1998, SEP 2003, 2009, 2015)
- Legislative and policy documents

# Emergence of quantitative research assessment as a jurisdiction

- Technological change: Science Citation Index
- Science policy demands: Funding decisions, priorities and accountability
  - Netherlands: expertise in policy-relevant science studies was built up in a ministerial department, an advisory council and in science studies group at Leiden University
  - Ministry of Education and Science and Research Council NWO fund long-term research programs in Science and Technology indicators

#### **Professional claim of CWTS as an expert organization**

Professional claim of CWTS – Bibliometrics as a diagnostic tool:

"The essence is that we came up with a tool that enabled experts in the field to identify emerging groups. That was what it was all about - emerging or declining." (Interview Henk Moed)

Bibliometrics as "quantitative core of peer review" (van Raan 1996): "Therefore the support of peer review by bibliometrics is a (sic!) indispensable part of the evaluation procedure." (van Raan 1999: 418)

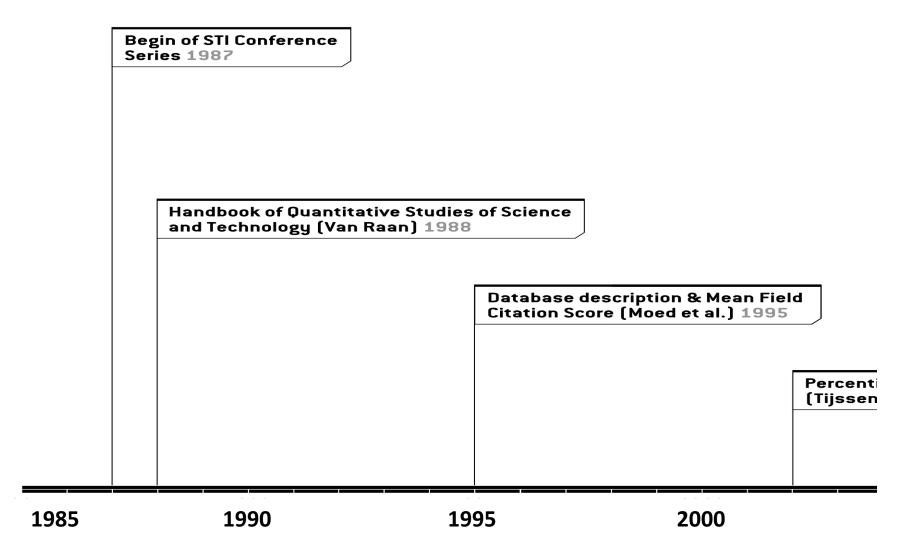
# **Cognitive basis of CWTS claim to expertise**

Cognitive claim based on

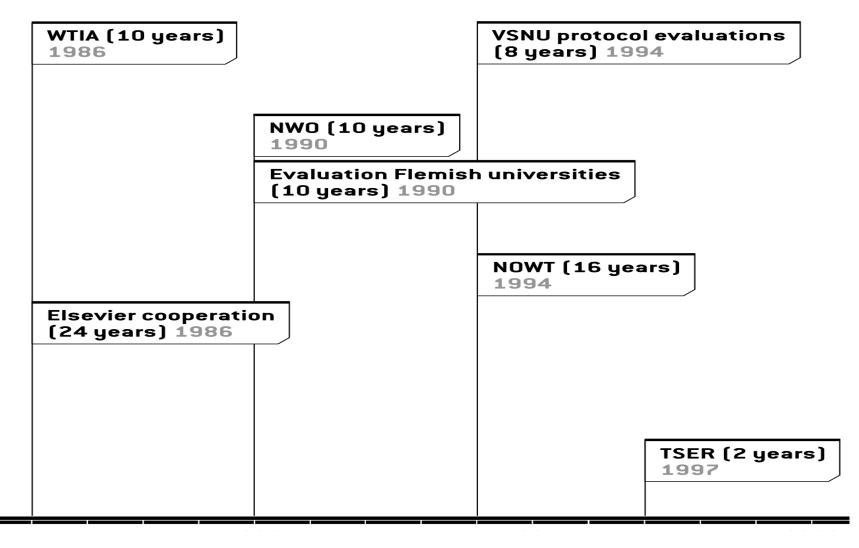
- •Meticulous collection and careful processing of publication and citation data
- method of field normalization
- •the creation of a modified in-house version of the ISI-databases specifically adapted to purposes of research evaluation (Moed et al. 1995)



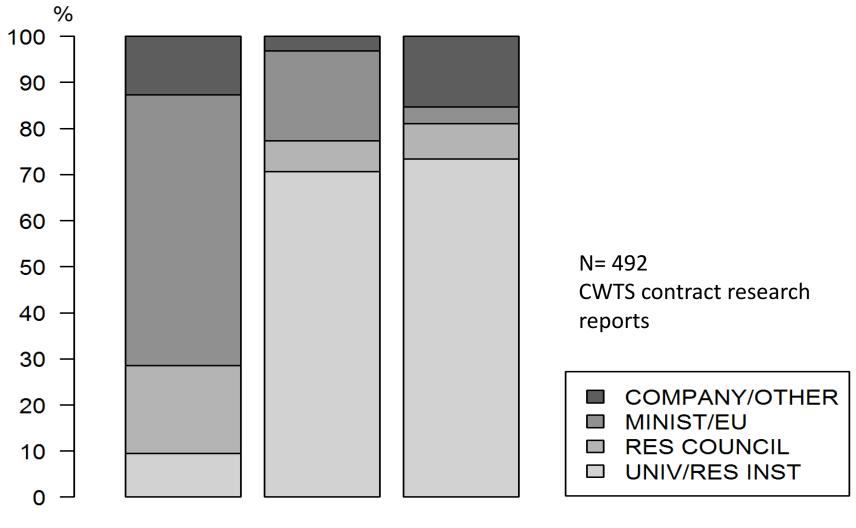
# Communication of CWTS cognitive claim in the academic field



### **CWTS social claim to expertise**



#### Acceptance of CWTS social claims –client structure

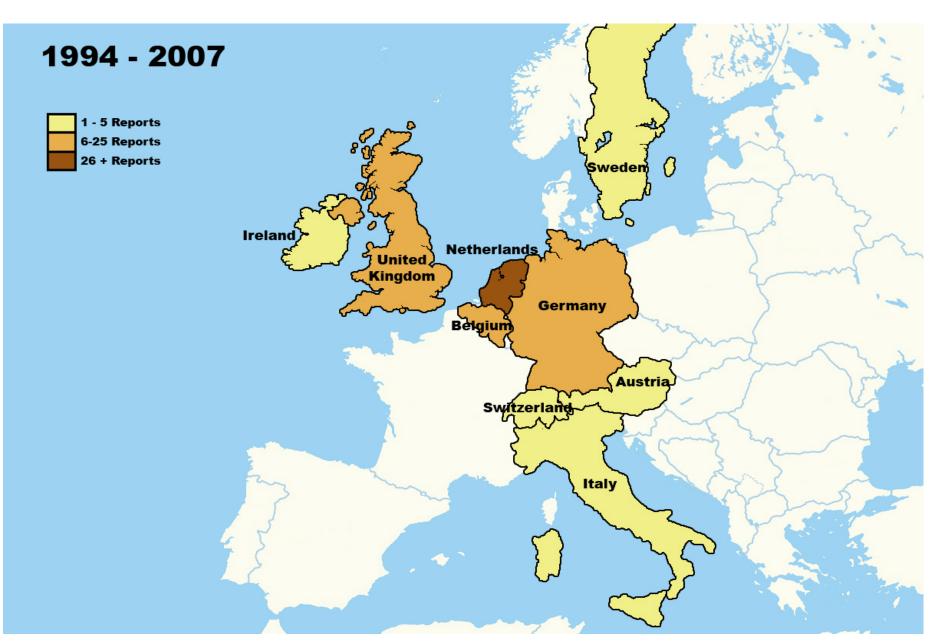


1986-1993 1994-2007 2008-2015

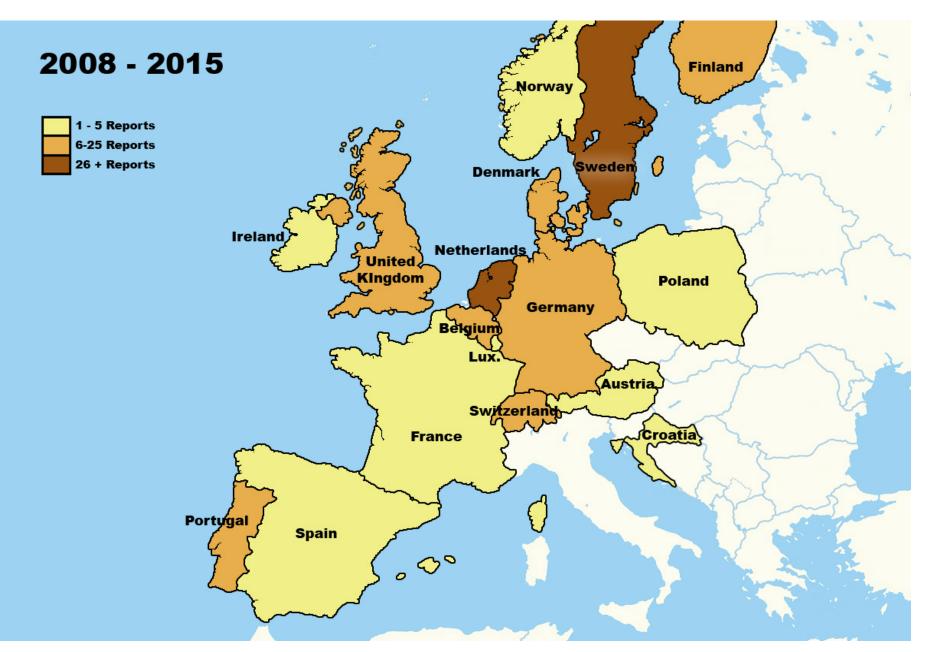
#### Formative phase 1986-1993



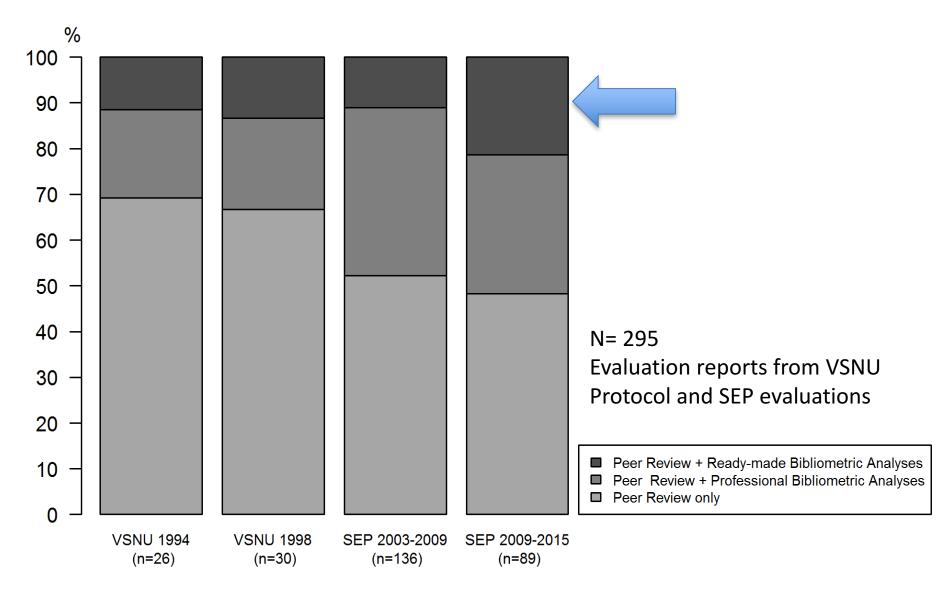
#### Expansion phase 1994-2007



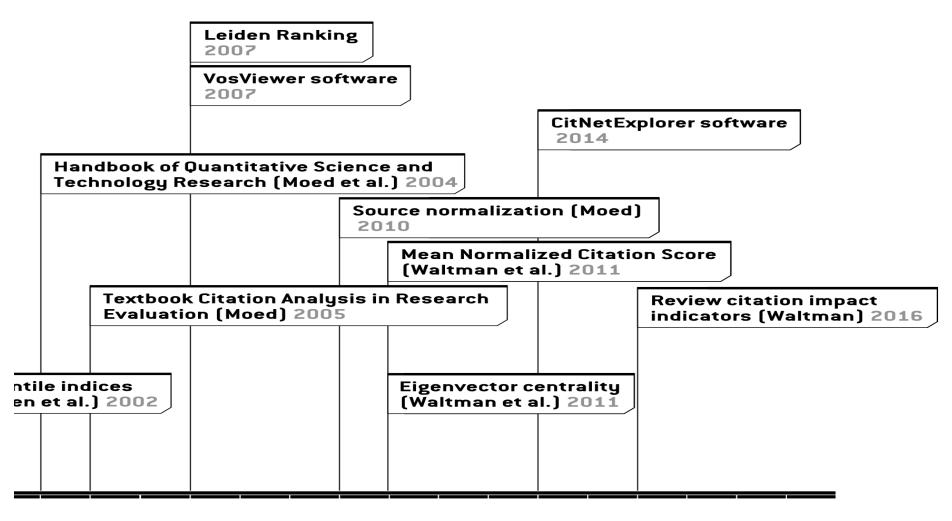
#### **Consolidation and diversification phase 2008-2015**



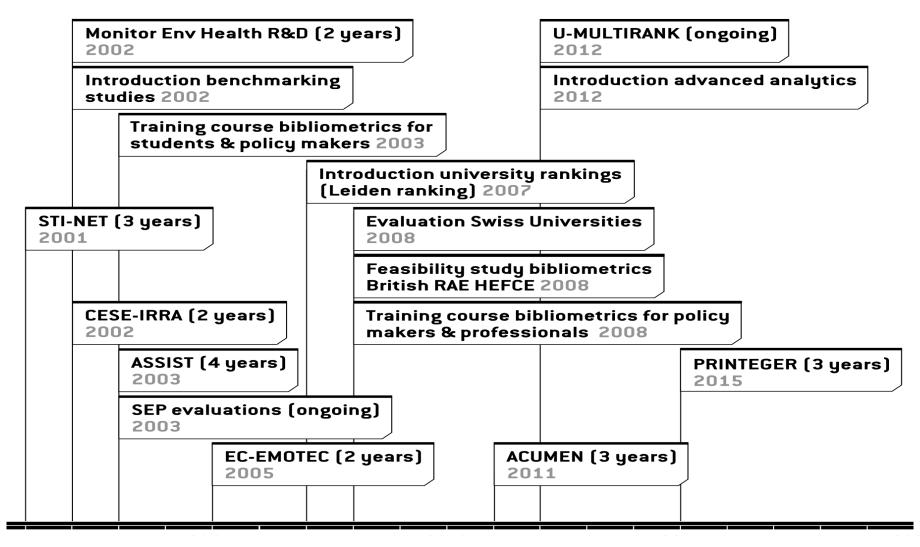
# Threats to CWTS position in the expert field of evaluative bibliometrics



# CWTS cognitive claims to consolidate its position in the expert field



# CWTS social claims to consolidate its position in the expert field



Thank you for you attention.

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### **Project publications**

Petersohn, S. (2016): Professional competencies and jurisdictional claims in evaluative bibliometrics: The educational mandate of academic librarians. *Education for Information, 32*(2), 165-193.

Jappe, A.; Heinze, T.; Pithan, D. (under review): Reputational Control and the Professionalization of Evaluative Citation Analysis.

Petersohn, S.; Heinze, T. (under review): Professionalizing Bibliometric Research Assessment? Insights from the Leiden Centre for Science and Technology Studies (CWTS). (1980-2016).